

PLAN NAME: Sample Company 401(k) Plan
PROPOSAL DATE: MM/DD/YYYY

ASSUMPTIONS

Participant Count	50
Estimated Plan Assets	\$1,000,000
Estimated Annual Contributions	\$100,000
Plan Asset Growth	4.00%

ANNUAL SERVICE FEES

Recordkeeping – Base Fee	\$1,750
Recordkeeping – Participant Fee (Minimum: \$600)	\$3,000
0-100 Participants	\$50
101+ Participants	Custom Quote
Custody Fee (Minimum: \$750)	\$750
\$0-\$10,000,000	0.05%
\$10,000,001 - \$20,000,000	0.04%
\$20,000,001 - \$50,000,000	0.03%
Over \$50,000,001	Custom Quote
Plan Administration Fee	\$1,000
TOTAL ANNUAL SERVICE FEES	\$6,500

ANNUAL COST PROJECTIONS FOR YEARS 1,2,3...

	Year One	Year Two	Year Three
Projected Annual Service Fees	\$0,000	\$0,000	\$0,000
Advisor Compensation: <NAME>	\$0,000	\$0,000	\$0,000
TOTAL ESTIMATE ANNUAL COSTS	\$0,000	\$0,000	\$0,000

COST COMPARISON

	Cost (%)	Cost (\$)
Current Plan	0.00%	\$0,000
<Institution Master>	0.00%	\$0,000
Difference	0.00%	\$0,000

*The Custody Fee is a tiered fee that reduces on a percentage basis as assets grow.

** Costs illustrated are good faith estimates only and the actual total cost will depend on actual asset levels, participant counts, overall asset allocations, and services ultimately selected.

Plan Service	Cost	Frequency of Billing
3(38) Plan Fiduciary Services	0.04%	Annually
3(16) Administrative Fiduciary Services	\$1,250+\$5/participant with a balance \$4/hardcopy per mailing	
Managed Account Program (MAP) Fee	0.15%	Charge to Employer when AT is Discretionary Trustee
Volume Submitter Document	\$750	Per Document
Non-standardized Document	\$2,000	Per Document
Plan Amendment	\$200/non-signature \$400/signature	Per Amendment
ADP/ACP Testing	\$500	Per calculation greater than semi-annual
Employer contribution calculations	\$500 \$1,000 (non-standard calculations including new comparability, age weighted and permitted disparity)	Per Calculation
Manual Payroll Entry	\$25	Per Payroll
Completion of Form 5330	\$100	Per Transaction
Completion of 5558	\$50	Per Transaction
Termination Service Fee	\$1,500	Per Transaction
Legal Consultation	\$150 per hour	Per Transaction
Signing of Form 5500	\$250	Annually
Distribution Approvals	\$750+\$4/participant with a balance	Annually
Mailing of Plan Notices	\$4.00	Per Mailing
Hardcopy Enrollment Books	No charge for initial books \$6 per book for additional orders	

Participant Service	Cost	Frequency of Billing
Managed Account Program (MAP) Fee – American Trust	0.10%	Annual charge to participant
Managed Account Program (MAP) Fee – American Trust	0.15% annual (0.05% to Leafhouse and 0.10% to American Trust)	Annual charge to participant
In-Plan Roth Conversion	\$100	Per Transaction
Distribution Processing	\$75	Per Transaction
Installment Distribution	\$75	Annually
Loan Application	\$80	Per Transaction
Loan Maintenance – ongoing for duration of loan	\$80	Annually
Hardship Distribution	\$75	Per Transaction
In-Service Distribution	\$75	Per Transaction
Required Minimum Distribution (RMDs)	\$75	Per Transaction
Missing Participant Search	\$25	Per Search
Check Stop Payment/Reissue	\$50	Per Check
Overnight Delivery – required for all checks over \$25,000	\$50	Per Check
Wire Transfer of a Distribution	\$35	Per Wire
QDRO Review	\$500	Per Transaction
Self-Directed Brokerage Account	\$500 setup (Plan Fee) \$100 setup fee and \$100 annually (Participant)	